

香港交易及結算所有限公司及香港聯合交易所有限公司對本公佈的內容概不負責，對其準確性或完整性亦不發表任何聲明，並明確表示，概不對因本公佈全部或任何部分內容而產生或因倚賴該等內容而引致的任何損失承擔任何責任。



**SOUND GLOBAL LTD.**

**桑德國際有限公司\***

(於新加坡共和國註冊成立的有限公司)

(香港股份代號：00967)

(新加坡股份代號：E6E)

## 海外監管公佈

本海外監管公佈轉載自桑德國際有限公司（「本公司」）。根據香港聯合交易所有限公司（「聯交所」）證券上市規則第13.10(B)條，上市發行人須確保若上市發行人的證券同時於其他證券交易所上市，則上市發行人在其他證券交易所發佈任何資料的同時亦須知會聯交所，並須確保在其他市場發佈的任何資料的同時亦須在香港市場發佈該等資料。請參閱下一頁隨附的於二零一三年十二月十三日在新加坡證券交易所有限公司作出的公佈。

承董事會命  
主席  
文一波

香港，二零一三年十二月十三日

於本公佈日期，執行董事為文一波、張景志、王凱、羅立洋、及姜安平；及獨立非執行董事為傅濤、Seow Han Chiang Winston及王仕銘。

\* 僅供識別

 [Print this page](#)**Disclosure of Interest/Changes in Interest of Substantial Shareholder(s)/Unitholder(s)**

\* Asterisks denote mandatory information

Name of Announcer *	SOUND GLOBAL LTD.
Company Registration No.	200515422C
Announcement submitted on behalf of	SOUND GLOBAL LTD.
Announcement is submitted with respect to *	SOUND GLOBAL LTD.
Announcement is submitted by *	WEN YIBO
Designation *	Chairman
Date & Time of Broadcast	13-Dec-2013 18:23:25
Announcement No.	00083

**>> Announcement Details**

The details of the announcement start here ...


Person(s) Giving Notice \*

Substantial Shareholder(s)/Unitholder(s) (Form 3)

Date of receipt of notice by Listed Issuer \*

13/12/2013

Attachment (Form 1/3/5/6) \*

 [Form3-SchrodersPlc-13Dec13.pdf](#)  
Total size = **161K**  
(2048K size limit recommended)[Close Window](#)

SECURITIES AND FUTURES ACT (CAP. 289)  
SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS)  
REGULATIONS 2012

**NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/  
UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES**

**FORM  
3**

(Electronic Format)

Explanatory Notes

1. Please read the explanatory notes carefully before completing the notification form.
2. This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estate-related assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").

11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at <http://www.mas.gov.sg> (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

## Part I - General

1. Name of Listed Issuer:

Sound Global Ltd

2. Type of Listed Issuer:

☒ Company/Corporation

☐ Registered/Recognised Business Trust

☐ Real Estate Investment Trust

3. Is more than one Substantial Shareholder/Unitholder giving notice in this form?

☒ No *(Please proceed to complete Part II)*

☐ Yes *(Please proceed to complete Parts III & IV)*

4. Date of notification to Listed Issuer:

13-Dec-2013

## Part II - Substantial Shareholder/Unitholder and Transaction(s) Details

[To be used for single Substantial Shareholder/Unitholder to give notice]

1. Name of Substantial Shareholder/Unitholder:

Schroders plc

2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?

☒ Yes

☐ No

### Transaction A



1. Notification in respect of:

☒ Becoming a Substantial Shareholder/Unitholder

☐ Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder

☐ Ceasing to be a Substantial Shareholder/Unitholder

2. Date of acquisition of or change in interest:

11-Dec-2013

3. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 2 above, please specify the date):

12-Dec-2013

4. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

The monitoring of the Group's holdings is on T+1 basis

5. Type of securities which are the subject of the transaction (more than one option may be chosen):

☒ Voting shares/units

☐ Rights/Options/Warrants over voting shares/units

☐ Convertible debentures over voting shares/units (conversion price known)

☐ Others (please specify):

6. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholder/Unitholder:

4,637,000

7. Amount of consideration paid or received by Substantial Shareholder/Unitholder (excluding brokerage and stamp duties):

SGD0.00

8. Circumstance giving rise to the interest or change in interest:

Acquisition of:

- ☐ Securities via market transaction
- ☐ Securities via off-market transaction (*e.g. married deals*)
- ☐ Securities via physical settlement of derivatives or other securities
- ☐ Securities pursuant to rights issue
- ☐ Securities via a placement
- ☐ Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- ☐ Securities via market transaction
- ☐ Securities via off-market transaction (*e.g. married deals*)



Other circumstances:

- ☐ Acceptance of take-over offer for the Listed Issuer
- ☐ Corporate action by the Listed Issuer which Substantial Shareholder/Unitholder did not participate in (*please specify*):

- ☒ Others (*please specify*):

The units reported under Part II, Item 2 (6) were transferred in by a client due to a new portfolio inception on 11 December 2013.

9. Quantum of total voting shares/units (including voting shares/units underlying rights/options/warrants/convertible debentures {conversion price known}) held by Substantial Shareholder/Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	62,219,000	62,219,000
As a percentage of total no. of voting shares/units: 	0	4.823	4.823
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/convertible debentures:	0	66,856,000	66,856,000
As a percentage of total no. of voting shares/units: 	0	5.183	5.183

10. Circumstances giving rise to deemed interests (if the interest is such):  
[You may attach a chart in item 11 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

The units reported under Part II, Item 2 (6) were transferred in by a client due to a new portfolio inception on 11 December 2013.

11. Attachments (if any): 



(The total file size for all attachment(s) should not exceed 1MB.)

12. If this is an **amendment** of an earlier notification, please provide:

- (a) 5-digit SGXNet announcement number of the **first** notification which was announced on SGXNet (the "Initial Announcement"):

- (b) Date of the Initial Announcement:

- (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

13. Remarks (if any):

The percentage of the total no. of units reported under Part II - Item 9 is calculated based on the total issued share capital of 1,290,000,000 as at 11 December 2013.



Transaction Reference Number (auto-generated):

3	6	8	5	1	0	6	4	1	2	5	2	5	5	2
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Item 14 is to be completed by an individual submitting this notification form on behalf of the Substantial Shareholder/Unitholder.

14. Particulars of Individual submitting this notification form to the Listed Issuer:

(a) Name of Individual:

Neo Shi Lin/ Adeline Woo

(b) Designation (*if applicable*):

Compliance Manager/ Compliance Analyst

(c) Name of entity (*if applicable*):

Schroder Investment Management (Singapore) Ltd